

UNITED STATES PATENT AND TRADEMARK OFFICE

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
BOARD OF PATENT APPEALS AND INTERFERENCES
BOX INTERFERENCE, WASHINGTON, D.C. 20231

Filed by: Judge Jameson Lee Telephone: (703) 308-9797 Facsimile: (703) 305-0942

MAILED

JUL 9 - 2003

PAT. & T.M. OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES Applicant: PRASAD

Application No.: 09/265,625

Filed: 03/10/99

For: TWO-PHOTON UPCONVERTING DYES AND

APPLICATIONS

Accorded benefit: Application 08/712,143, filed 09/05/96, now Patent 5,912,257, granted 06/15/99;

Provisional 60/010330, filed 12/15/95

The above-identified application or patent has been forwarded to the Board of Patent Appeals and Interferences because it is adjudged to interfere with another application or patent. An interference has been declared. The interference is designated as No. 105,133.

Notice is hereby given the parties of the requirement of the law for filing in the Patent and Trademark Office a copy of any agreement "in connection with or in contemplation of the termination of the interference." 35 U.S.C. § 135(c).

AMESON LEE

Administrative Patent Judge

INTERFERENCE DIGEST

Interference No.		105,133	Paper No. 20
Name: Paras	N. Prasa	d et al.	
Serial No.:	09/265	,625	Patent No.
Title: TWO-	POTON	UPCONV	ERTING DYES AND APPLICATIONS
Filed: 03/10/	99		
Interference	with Fish	er et al.	
			DECISION ON MOTIONS
Administrativ	ve Patent	Judge,	Dated,
			1
Board of Pate	ent Appe	als and Int	references, Gangerol Dated, 1-13-04
Court,			Dated,
			REMARKS
	·		

This should be placed in each application or patent involved in interference in addition to the interference letters.

Filed by: Jameson Lee

Administrative Patent Judge
Mail Stop Interference
Board of Patent Appeals and Interferences
U.S. Patent and Trademark Office
P.O. Box 1450

Filed: 9 July 2003

Alexandria, VA 22313-1450

Tel: 703-308-9797 Fax: 703-305-0942

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

WALTER G. FISHER, ERIC A WACHTER and H. CRAIG DEES,

Junior Party, (Patent 5,829,448),

MAILED

JUL 9 - 2003

PAT. & T.M. OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES

v.

PARAS N. PRASAD, JAYANT D. BHAWALKAR, GUANG S. HE, CHAN F. ZHAO and JAROSLAW ZIEBA,

Senior Party, (Application 09/265,625).

Patent Interference No. 105,133

NOTICE DECLARING INTERFERENCE (37 CFR § 1.611)

Part A. Declaration of interference

An interference is declared (35 U.S.C. § 135(a)) between the above-identified parties. Details of the application(s), patent (if any), reissue application (if any), count(s) and claims designated as corresponding or as not corresponding to the count(s) appear in Parts E and F of this NOTICE DECLARING INTERFERENCE.

Part B. Judge designated to handle the interference

Administrative Patent Judge Jameson Lee has been designated to handle the interference. 37 CFR § 1.610(a).

Part C. Standing order

A Trial Section STANDING ORDER accompanies this NOTICE DECLARING INTERFERENCE. The STANDING ORDER applies to this interference.

Part D. Conference call to set dates

A telephone conference call to set dates for taking action in the interference is scheduled for 2:00 p.m. on 26 August 2003 (the call will be initiated from the PTO).

No later than **two business days** prior to the conference call, each party shall file and serve by facsimile a list of the preliminary motions the party intends to file. See STANDING ORDER ¶ 10.

A copy of a "sample" order setting times for taking action during the preliminary motion phase of the interference accompanies this NOTICE DECLARING INTERFERENCE. Counsel are encouraged to discuss the order prior to the conference call with the view to coming to some agreement as to dates for taking action. A typical preliminary motion period lasts approximately nine (9) months. Counsel should be prepared to justify any request for a shorter or longer period.

Part E. The parties involved in this interference are:

Junior Party

Named inventors:

WALTER G. FISHER, Knoxville, TN

ERIC A. WACHTER, Oak Ridge, TN

H. CRAIG DEES, Knoxville, TN

Patent:

5,829,448, granted 3 November 1998,

based on Application 08/739,801,

filed 30 October 1996

Title:

Method for improved selectivity in photo-

activation of molecular agents

Assignee:

Photogen, LLC

Accorded Benefit:

None

Attorneys:

See last page

Address:

See last page

Senior Party

Named Inventors:

PARAS N. PRASAD, Williamsville, N.Y.

JAYANT D. BHAWALKAR, Tonawanda, N.Y.

GUANG S. HE, Williamsville, N.Y. CHAN F. ZHAO, San Diego, CA JAROSLAW ZIEBA, Santa Rosa, CA

Application:

09/265,625, filed 10 March 1999

Title:

Two-photon upconverting dyes and applications

Assignee:

None

Accorded Benefit:

5,912,257, granted 15 June 1999, based on

application 08/712,143, filed 5 September 1996

Provisional 60/010,330, filed 15 December 1995

Attorneys:

See last page

Address:

See last page

Part F. Counts and claims of the parties

Count 1

Claims 290, 299 or 313 of Prasad's application 09/265,625

or

Claims 1, 10 or 40 of Fisher's Patent 5,829,448

The claims of the parties are:

Fisher:

Claims 1-68

Prasad:

Claims 290-325

The claims of the parties which correspond to Count 1 are:

Fisher:

Claims 1-19, 21, 23, 40-45, 50-51

Prasad:

Claims 290-310, 313-320

The claims of the parties which do not correspond to Count 1 are:

Fisher:

Claims 20, 22, 24-39, 46-49, 52-68

Prasad:

Claims 311, 312, 321-325

Part G. Heading to be used on papers

The following heading	g shall be used	on papers	filed in the in	terference.	See STANDING
ORDER¶3.5.	•				

Paper 1

Filed on behalf of [name of party]

By:

Name of lead counsel, Esq.

Name of backup counsel, Esq.

Street address

City, State, and Zip-Code

Tel:

Fax:

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES
(Administrative Patent Judge Jameson Lee)

WALTER G. FISHER, ERIC A WACHTER and H. CRAIG DEES,

Junior Party,

(Patent 5,829,448),

v.

PARAS N. PRASAD, JAYANT D. BHAWALKAR, GUANG S. HE, CHAN F. ZHAO and JAROSLAW ZIEBA, Senior Party, (Application 09/265,625).

Patent Interference No. 105,133

TITLE OF PAPER

Leave a blank line because the board assigns the paper number.

Part H. Summary of dates for taking action

Times for taking action are set out in the following sections of the STANDING ORDER:

¶ 4: date for identifying lead and backup counsel.

¶ 5: date for identifying any real party in interest.

¶ 6: date for requesting copies of involved and benefit applications and patents.

¶ 7: date for accomplishing certain discovery.

¶ 8: date for filing clean copy of claims.

¶ 9: date for filing clean copy of claims in cases with drawings or claims containing a means plus function limitation.

¶ 10: date for filing list of proposed preliminary motions.

¶ 13.10.2: dates for filing oppositions to Rule 635 miscellaneous motions and dates for filing replies to oppositions.

¶ 14.1.1: date for objecting to admissibility of evidence.

¶ 14.2: date for serving supplemental affidavits or evidence to respond to objection to admissibility of evidence.

¶ 14.3: dates when cross-examination can take place.

¶ 15.2: dates for taking action with respect to settlement discussions.

Part I. Order form for requesting file copies

FILE COPY REQUEST

Interference 105,133

A copy of Part E of this NOTICE DECLARING INTERFERENCE should be attached to this FILE COPY REQUEST, with a circle by hand around the patents and applications for which a copy of a file wrapper is desired.

To facilitate processing of this FILE COPY REQUEST, the following information should be included:

1. Charge fees to USPTO Deposit Account No.

1.	Charge fees to USPTO Deposit Account No.						
2.	Complete address, including street, city, state, zip code and telephone number (do not list a Post Office box inasmuch as file copies are sent via commercial						
	overnight courier).						
T-11	ncluding area code:						

Part J. Signature of administrative patent judge

JAMESON LEE

Administrative Patent Judge

Date: $\frac{7/9/\iota 3}{\text{Arlington, VA}}$

Enc:

Standing Order

PTO-850

Copy of order used for setting times for taking action in the preliminary motion phase of the interference

Copy of order used for setting times for taking action in the testimony and briefing phases of the interference

Copy U.S. Patent 5,829,448

Copy of claims of 09/265,625

Revised May 2003

- 9 -

cc (via Federal Express):

Attorney for FISHER:

Mark J. Murphy, Esq.
COOK, ALEX, McFARRON, MANZO, CUMMINGS
& MEHLER, LTD
200 West Adams Street, Suite 2850
Chicago, IL 60606

Attorney for PRASAD:

Michael L. Goldman, Esq. NIXON PEABODY LLP Clinton Square Rochester, N.Y. 14604